

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

ROSENBERG et al.

Serial No. 09/037,792

Filed: March 10, 1998

For: MULTIPHASE ACTIVE INGREDIENT-CONTAINING FORMULATIONS



Art Unit: 1615

Examiner: Webman

GAU 1615
SJB &
Harmon
4/3/99

RECEIVED
MAR 23 1999

U.S. POSTAL SERVICE
SERVICE CENTER

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on: March 11, 1999

Date of Deposit Malcolm J. MacDonald

Person Mailing Deposit

Malcolm J. MacDonald

Signature March 11, 1999

Date of Signature

Honorable Commissioner of
Patents and Trademarks
Washington, D.C. 20231

AMENDMENT UNDER 37 CFR 1.111

Sir:

Responsive to the office action mailed on October 22, 1998, please amend the application identified above as follows, in accordance with 37 CFR 1.111.

IN THE CLAIMS

Cancel claims 1-4.

Amend claims 5 and 6 as follows:

5. (amended) A process for producing [a formulation as claimed in claim 1]

~~the composition defined in claim 7, which process comprises plasticizing a mixture of the components of the matrix phase by energy input, incorporating at least one other [active ingredient-containing] phase in the form of particles homogeneously into the matrix phase~~